

### § 3. Global CFT in terms of ideles

(I)

In this section  $K$  denotes a number field.

Def. 1: ring of adèles over  $K$

$$A_K = \left\{ \alpha = (\alpha_p) \in \prod_{p \in S_K} K_p \mid \alpha_p \in \mathcal{O}_{K,p} \text{ for almost all } p \in S_K^{\infty} \right\}$$

$$= \bigcup_S A_{K,S} \text{ with } A_{K,S} = \prod_{p \in S} K_p \times \prod_{p \notin S} \mathcal{O}_{K,p}$$

where  $S$  runs through the finite subsets of  $S_K$  containing  $S_K^{\infty}$

There is a natural topology on  $A_K$  with a basis given by subsets of the form  $\prod_{p \in S} W_p \times \prod_{p \notin S} \mathcal{O}_{K,p}$  with

$S \subseteq S_K$  finite,  $S \supseteq S_K^{\infty}$ ,  $W_p \subseteq K_p$  open abnd. of  $0 \forall p \in S$

(This is not the product topology on  $\prod_{p \in S_K} K_p$  restricted to

$A_K$ . For instance, the subset  $U = \prod_{p \in S_K^{\infty}} K_p \times \prod_{p \in S_K^{\text{fin}}} \mathcal{O}_{K,p}$

by definition open in  $A_K$ , but not open w.r.t. the topology

given by product topology. Otherwise there would be a finite subset  $T \subseteq S_K$  and open nbh,  $V_p \subseteq K_p$  of  $0 \forall p \in T$  s.t.

$$U \supseteq \left( \prod_{p \in T} V_p \times \prod_{p \notin T} K_p \right) \cap A_K. \quad (3)$$

Now choose some  $p_0 \in S_K^{\infty} \setminus T$  and define  $\alpha = (\alpha_p) \in A_K$

by  $\alpha_p = \begin{cases} 0 & p \neq p_0 \\ \beta & p = p_0 \end{cases}$ , where  $\beta$  is an arbitrary

element in  $K_{p_0} \setminus \mathcal{O}_{K,p_0}$ . Then  $\alpha$  lies in the right

hand side of (3), but not in  $U$ .

But: If one restricts the topology on  $A_K$  to the

subrings of the form  $A_{K,S}$ , one obtains the product topology on these subrings. (II)

Def.: The idèle group  $I_K$  over  $K$  is the unit group of  $A_K$ . As for  $A_K$ , we have

$$I_K = \bigcup_S I_{K,S}, \quad I_{K,S} = \prod_{p \in S} K_p^\times \times \prod_{p \notin S} \mathcal{O}_{K,p}^\times$$

( $S \subseteq S_K$  finite,  $S \supseteq S_K^\infty$ )

and a topology with a basis given by

$$\prod_{p \in S} W_p \times \prod_{p \notin S} \mathcal{O}_{K,p}^\times \quad (4)$$

with open neighborhoods  $W_p$  of 1 for all  $p \in S$ .

(This topology is strictly finer than the topology of  $A_K$  restricted to  $I_K$ . It is the coarsest top. on  $I_K$  such that the map  $I_K \rightarrow A_K \times A_K$ ,  $\alpha \mapsto (\alpha, \alpha^{-1})$  is continuous.)

Remark: Since  $\mathcal{O}_{K,p}^\times$  is compact  $\forall p \in S_K^{<\infty}$ , by Tychonoff's theorem subsets of the form (4) are locally compact. Hence  $I_K$  is a locally compact topological group.

If we consider the different embeddings of some  $\alpha \in K^\times$  into the completions  $K_p^\times$ , it turns out that  $\alpha \in \mathcal{O}_{K,p}^\times$  for almost all  $p \in S_K^{<\infty}$ . Hence there is a canonical diagonal embedding  $i: K^\times \hookrightarrow I_K$  which sends  $\alpha \in K^\times$  to  $(\alpha_p)_{p \in S_K}$  with  $\alpha_p = \alpha \forall p \in S_K$ . The factor

group  $C_K = I_K / K^\times$  is called the idèle class group of  $K$ . Using the product formula  $\prod_{p \in S_K} |x|_p = 1 \quad \forall x \in K^\times$ , one can show quite easily that the image of  $K^\times$  in  $I_K$  is discrete and thus closed. So if one equips  $C_K$  with the quotient topology, one obtains a locally compact, Hausdorff topological group.

Def.: Let  $L|K$  be a finite extension of number fields.

For every  $p \in S_K$  we define  $L_p^\times = \prod_{\mathfrak{P}|p} L_{\mathfrak{P}}^\times$ . Every  $\alpha_p \in L_p^\times$  defines an automorphism  $\alpha_p: L_p^\times \rightarrow L_p^\times$ ,  $x \mapsto \alpha_p x$  on  $L_p$  as a  $K_p^\times$ -vector space, and we put

$$N_{L_p|K_p}(\alpha_p) = \det(\alpha_p)$$

We thus get a homomorphism  $N_{L_p|K_p}: L_p^\times \rightarrow K_p^\times$ , and combining these maps for all  $p \in S_K$  we obtain a homomorphism  $N_{L|K}: I_L \rightarrow I_K$ . The elements in  $i(L^\times)$  are mapped to  $i(K^\times)$ , so that there is an induced map  $N_{L|K}: C_L \rightarrow C_K$ .

Theorem (global reciprocity law)

For every finite Galois extension  $L|K$  of number fields there is a canonical surjective homomorphism

$$(\cdot, L|K): C_K \rightarrow \text{Gal}(L|K)^{\text{ab}} \text{ with kernel } N_{L|K} C_L.$$

There is no obvious analogue of condition (a), but (b) to (d) also hold in the global case (with  $K^\times$  replaced by  $C_K$  everywhere).

## Theorem (global existence theorem)

(IV)

Let  $K$  be a number field. There is a bijective correspondence  $L \mapsto \mathcal{N}_L = \mathcal{N}_{L/K} C_L$  between

(i) finite abelian extensions  $L/K$

(ii) closed subgroups of  $C_K$  of finite index

For two such extensions  $L_1/K, L_2/K$ , the same conditions hold as in the local case.

The following theorem describes the relation between the local and the global reciprocity law.

Theorem: Let  $L/K$  denote a finite abelian extension of number fields. Let  $p$  be a prime of  $K$  and  $\mathfrak{P}$  a prime of  $L$  such that  $\mathfrak{P}|p$  (i.e.  $\mathfrak{P}|p\mathcal{O}_L$  if  $p$  is finite and  $\mathfrak{P}|\infty$  if  $p = \infty$ ,  $\mathfrak{P} = \{\infty, \bar{\infty}\}$  infinite primes). Then there is a commutative diagram

$$\begin{array}{ccc} K_p^\times & \xrightarrow{(\cdot, L_{\mathfrak{P}}|K_p)} & \text{Gal}(L_{\mathfrak{P}}|K_p) \\ \langle \rangle \downarrow & & \downarrow \\ C_K & \xrightarrow{(\cdot, L|K)} & \text{Gal}(L|K) \end{array}$$

Here the left arrow sends  $\beta \in K_p^\times$  to the class of the idele  $\alpha = (\alpha_q)_q$  given by  $\alpha_q = \begin{cases} \beta & q = p \\ 1 & \text{else} \end{cases}$ .

For the arrow on the right, remember that there is a canonical isomorphism  $\text{Gal}(L_{\mathfrak{P}}|K_p) \cong D_{\mathfrak{P}}$  ( $D_{\mathfrak{P}}$  = decomposition group of  $\mathfrak{P}$ ), and the arrow is given by the inclusion  $D_{\mathfrak{P}} \hookrightarrow \text{Gal}(L|K)$ .

Corollary. If  $L|K$  is finite abelian and  $\alpha = (\alpha_p)_p \in I_K$ ,  
 then  $(\alpha, L|K) = \prod_p (\alpha_p, L_p|K_p)$ , where  $\mathfrak{p}$  is an  
 arbitrary divisor of  $\mathfrak{p}$  for every  $p \in S_K$ . In particular,  
 for an idèle  $a \in K^*$  we have  $\prod_p (a, L_p|K_p) = \text{id}_L$ . (\*)

sketch: It suffices to check the equation for idèles  
 of the form  $(\alpha_p)$  ( $\alpha_p \in K_p^*$ ) where it follows  
 directly from the commutative diagram. For  $a \in K^*$   
 notice that  $(\cdot, L|K)$  depends only on idèle classes.

Remark: One can use (\*) in order to derive a product  
 formula for the various Hilbert symbols introduced in §2:

$$\prod_p \left( \frac{a, b}{p} \right) = 1 \text{ for } a, b \in K^* \quad (**)$$

where  $K^*$  denotes a number field which contains  $\mu_n$ .

generalized Legendre symbol  $\left( \frac{a}{p} \right) := \left( \frac{\pi_1 a}{p} \right)$

( $p$  prime with  $p \nmid n$ ,  $\pi$  local unif. for  $K_p$ ,  $a \in U_p$ )

This symbol satisfies  $\left( \frac{a}{p} \right) = 1 \iff a \equiv x^n \pmod{p}$   
 for some  $x \in U_p$ .

generalized Jacobi symbol  $\left( \frac{a}{b} \right) = \prod_p \left( \frac{a}{p} \right)^{n_p}$

if  $b = \prod_p p^{n_p}$

If  $b = (b)$  is principal, define  $\left( \frac{a}{b} \right) := \left( \frac{a}{b} \right)$ . Now using (\*\*), one  
 derives the formula  $\left( \frac{a}{b} \right) \left( \frac{b}{a} \right)^{-1} = \prod_{p|bn} \left( \frac{a, b}{p} \right)$ , the reciprocity  
law for  $n$ -th power residues.

Next we will discuss the relation between ideal- and idele-theoretic class field theory. Notice that there is a surjective homomorphism

$$I_K \rightarrow \hat{J}_K, \quad \alpha = (\alpha_p)_p \mapsto \prod_{p \in S_K^\infty} p^{v_p(\alpha_p)}$$

whose kernel is  $I_{K, S_K}$ . It induces a surjective hom.

$$C_K \rightarrow \text{cl}_K \quad \text{with kernel } I_{K, S_K^\infty} K^* / K^*$$

Now we are going to derive a similar description for the ray class group. For every modulus  $m$  we define

$$I_K^m = \prod_p U_p^{(n_p)} \quad \text{where } n_p = m(p) \quad \forall p \in S_K. \quad \text{For}$$

$p$  finite, we already defined  $U_p^{(n_p)}$  in §2. For real

$$p, \text{ we put } U_p^{(n_p)} = \begin{cases} \mathbb{R}^+ & \text{if } n_p = 0 \\ \mathbb{R}_+^+ & \text{if } n_p = 1 \end{cases} \quad \text{and } U_p^{(0)} = \mathbb{C}^*$$

for complex  $p$ . Furthermore, we let  $C_K^m = I_K^m K^* / K^*$  and call it the congruence subgroup modulo  $m$ .

Theorem: The closed subgroups of finite index in  $C_K$  are precisely those which contain a congruence subgroup  $C_K^m$  for some modulus  $m$ .

Sketch: (i) Let  $U \subseteq C_K$  be closed of finite index.

$$I_K^m \text{ open in } I_K \Rightarrow C_K^m \text{ open in } C_K$$

Furthermore,  $(C_K : C_K^m)$  is finite: Notice that  $I_K^m \subseteq$

$$I_{K, S_K^\infty}, \text{ furthermore } (C_K : I_{K, S_K^\infty} K^* / K^*) = \# \text{cl}_K = h_K < \infty$$

$$\text{and } (C_K : C_K^m) = h(I_{K, S_K^\infty} K^* : I_K^m K^*) \leq h(I_{K, S_K^\infty} : I_K^m)$$

$$= h \prod_{p \in S_k^{<\infty}} (U_p : U_p^{(n_p)}) \prod_{p \in S_k^{\infty}} (K_p^* : U_p^{(n_p)}) < \infty. \quad (\text{VII})$$

$\Rightarrow C_k^m$  is closed as the complement of the union of finitely many open cosets

$\Rightarrow U$  is closed of finite index, since it is the union of finitely many  $C_k^m$ -cosets.

(ii) Let  $\mathcal{N} \subseteq C_k$  be closed of finite index.

$\Rightarrow \mathcal{N}$  is open as the complement of finitely many cosets

$\rightarrow$  preimage  $J$  of  $\mathcal{N}$  in  $I_k$  is open

By definition of the topology on  $I_k$ ,  $J$  contains a subset of the form  $W = \prod_{p \in S} W_p \times \prod_{p \notin S} U_p$

( $S \subseteq S_k$  finite,  $W_p \in K_p^*$  open neighborhood of 1).

For finite  $p$ , choose  $n_p \geq 0$  s.t.  $U^{(n_p)} \subseteq W_p$ , and

for  $p$  real, define  $n_p = 1$ . Now one can show that

$$I_k^m \subseteq W \text{ and } C_k^m \subseteq \mathcal{N}$$

Theorem: The map  $I_k \rightarrow \mathcal{I}_k$  defined above induces an isomorphism  $C_k / C_k^m \cong Cl_k^m$  for every modulus  $m$ .

One can show that for every finite abelian extension  $L/K$  contained in the ray class field  $K^m$  there is a commutative diagram

$$\begin{array}{ccc}
 C_K & \xrightarrow{(\cdot, L/K)} & \text{Gal}(L/K) \\
 \cong \downarrow & \nearrow & \\
 \ell_m & & \left( \frac{L/K}{\cdot} \right)
 \end{array}$$



(For the proof, one uses the compatibility between local and global reciprocity law.)

In particular, this shows that  $C_K^m$  coincides with the norm subgroup  $N_{K^m/K} C_{K^m}$  of the ray class field.

( $\Rightarrow \ell_K \cong N_{H/K} C_H$ , the isomorphism use in the proof of the principal ideal theorem)



# ① §1. The theorems of classical global LFT

number field = finite (algebraic) extension of  $\mathbb{Q}$

Reminder: The ring of integers  $\mathcal{O}_K$  of a number field  $K$  is a Dedekind domain, which means that every ideal  $\mathfrak{a} \subseteq \mathcal{O}_K$  has a unique factorization of prime ideals.

In particular, if  $L \supseteq K$  are number fields,  $n = [L:K]$ , then for every prime  $p$  in  $K$  there are primes  $\mathfrak{P}_1, \dots, \mathfrak{P}_r$  in  $L$  and  $e_1, \dots, e_r \in \mathbb{N}$  such that  $p\mathcal{O}_L = \mathfrak{P}_1^{e_1} \dots \mathfrak{P}_r^{e_r}$ .

ramification index  $e(\mathfrak{P}_i | p) = e_i$

inertia degree  $f(\mathfrak{P}_i | p) = [\lambda_i : \kappa]$  where  $\lambda_i = \mathcal{O}_L / \mathfrak{P}_i$

and  $\kappa = \mathcal{O}_K / p$  denote the residue class fields of  $\mathfrak{P}_i$  and  $p$ , respectively

fundamental equation  $n = \sum_{i=1}^r e_i f_i$

$p$  ramified  $\Leftrightarrow e_i > 1$  for some  $i$

$p$  split  $\Leftrightarrow r = n$  ( $\Rightarrow e_i = f_i = 1$  for  $1 \leq i \leq r$ )

$\text{Spl}(L|K) = \{ p \mid p \text{ split prime in } K \}$

There are two basic problems in ANT:

(1) Describe the finite extensions of  $K$  in terms of arithmetic properties of  $K$ .

(2) Describe the factorization of the primes of  $K$  in these extensions.

Example In quadratic extensions  $L = \mathbb{Q}(\sqrt{d})$  of  $\mathbb{Q}$  ( $d \in \mathbb{Z}$ ,  $d \neq 0, 1$  square-free) only three cases can occur:

(I) inert case  $p\mathcal{O}_L$  is prime in  $L$

(S) split case  $p\mathcal{O}_L = \mathfrak{P}_1 \mathfrak{P}_2$ ,  $\mathfrak{P}_1, \mathfrak{P}_2$  different primes in  $L$

(R) ramified case  $p\mathcal{O}_L = \mathfrak{P}^2$ ,  $\mathfrak{P}$  prime in  $L$

For the odd primes, the factorization type is determined

(2) by the Legendre symbol.

$$p \text{ is inert in } L \Leftrightarrow \left(\frac{d}{p}\right) = -1$$

$$p \text{ is split in } L \Leftrightarrow \left(\frac{d}{p}\right) = 1$$

$$p \text{ is ramified in } L \Leftrightarrow \left(\frac{d}{p}\right) = 0$$

By the quadratic reciprocity law, this means that the factorization type can be described by congruence conditions.

$$d = -1, \quad p \text{ prime } > 2 \quad p \equiv 3 \pmod{4} \Rightarrow p \text{ inert}$$

$$p \equiv 1 \pmod{4} \Rightarrow p \text{ split}$$

$$d = -3, \quad p \text{ prime } > 3 \quad p \equiv 2 \pmod{3} \Rightarrow p \text{ inert}$$

$$p \equiv 1 \pmod{3} \Rightarrow p \text{ split}$$

$$d = 2, \quad p \text{ prime } > 2 \quad p \equiv 3, 5 \pmod{8} \Rightarrow p \text{ inert}$$

$$p \equiv 1, 7 \pmod{8} \Rightarrow p \text{ split}$$

Do such congruence conditions hold for more general number fields? (And beside, is there a generalization of quadratic reciprocity for  $n$ -th powers?)

### Relation between problem (2) and (1)

Facts from ANT for a Galois extension  $L|K$  of number fields:

(i)  $p$  prime in  $K$ ,  $P, P'$  primes in  $L$  dividing  $p\mathcal{O}_L \Rightarrow \exists \sigma \in \text{Gal}(L|K)$  such that  $\sigma P = P'$

(ii) factorization  $p\mathcal{O}_L = P_1^e \dots P_r^e$ ,  $P_i$  different primes in  $L$  with the same inertia degree  $f$  ( $\Rightarrow [L:K] = r \cdot e \cdot f$ )

(iii) If  $p$  is an unramified in  $L$  ( $e=1$ ) and  $P|p\mathcal{O}_L$ , then the decomposition group  $D_P = \{\sigma \in \text{Gal}(L|K) \mid \sigma P = P\}$  is mapped isomorphically onto  $\text{Gal}(\lambda|K)$ , where  $\lambda = \mathcal{O}_L/P$  and  $K = \mathcal{O}_K/p$ . Let  $q = \#K$ . The unique  $\sigma \in D_P$  with  $\sigma(\alpha) \equiv \alpha^q \pmod{P} \forall \alpha \in \mathcal{O}_L$  is called the Frobenius element of  $P$  and denoted by  $\left(\frac{L|K}{P}\right)$ .

(3) (iv) If in (iii)  $\mathcal{P}$  is another prime dividing  $p\mathcal{O}_L$ ,  $\mathcal{J} = \sigma \mathcal{J}$ , then  $D_{\mathcal{P}'} = \sigma D_{\mathcal{P}} \sigma^{-1}$  and  $\left(\frac{L|K}{\mathcal{P}'}\right) = \left(\frac{L|K}{\mathcal{P}}\right) \Rightarrow$  All Frobenius elements corresponding to divisors of  $p$  form a conjugacy class in  $\text{Gal}(L|K)$ , which we denote by  $\left(\frac{L|K}{p}\right)$ . If  $L|K$  is abelian, then this class consists of one element, for which we use the same notation.

(v) For all unramified primes  $p$  ( $e=1$ ) we have

$$p \in \text{Spl}(L|K) \Leftrightarrow \left(\frac{L|K}{p}\right) = \{\text{id}_L\}.$$

More generally, if each  $\tau \in \left(\frac{L|K}{p}\right)$  is of order  $f$ , then  $p\mathcal{O}_L$  decomposes into  $r = \frac{[L|K]}{f}$  different prime ideals.

(Reason: By (iv) each  $\tau \in \left(\frac{L|K}{p}\right)$  is of the form  $\left(\frac{L|K}{\mathcal{P}}\right)$  for some  $\mathcal{P} | p\mathcal{O}_L$ , so by (iii) we have  $f = \text{ord}(\tau) = \#D_{\mathcal{P}} = \#\text{Gal}(\lambda|K) = [\lambda:K] = f(\mathcal{P}|p)$ . Since  $e(\mathcal{P}|p) = 1$ , we obtain  $r = \frac{[L|K]}{f}$ .)

Theorem: Let  $L|K$  be a finite Galois extension of number fields.

(i) The density of  $\text{Spl}(L|K)$  in the set  $S_K^{<\infty}$  of primes in  $K$  equals  $\frac{1}{[L|K]}$ .

(ii) If  $L'|K$  is another finite Galois extension, then  $L \subseteq L' \Leftrightarrow \text{Spl}(L|K) \supseteq \text{Spl}(L'|K)$ .

(iii) The Galois extension  $L|K$  is uniquely determined by the subset  $\text{Spl}(L|K) \subseteq S_K^{<\infty}$ .

(proof: (i) is a special case of the Čebotarev density theorem)

(ii) " $\Rightarrow$ " If  $p$  is split in  $L'$ , it must be split in  $L$ .

" $\Leftarrow$ " Show that  $\text{Spl}(LL'|K) = \text{Spl}(L|K) \cap \text{Spl}(L'|K)$ .

Let  $\mathcal{P}$  be a prime divisor of  $p\mathcal{O}_{LL'}$ ,  $\mathcal{P}_L = \mathcal{P} \cap \mathcal{O}_L$ ,  $\mathcal{P}_{L'} = \mathcal{P} \cap \mathcal{O}_{L'}$ .

$$p \in \text{Spl}(LL'|K) \Leftrightarrow \left(\frac{LL'|K}{\mathcal{P}}\right) = \{\text{id}_{LL'}\} \Leftrightarrow \left(\frac{LL'|K}{\mathcal{P}}\right) = \text{id}_{LL'}$$

$$\Leftrightarrow \left(\frac{LL'|K}{\mathcal{P}}\right) \Big|_L = \text{id}_L \wedge \left(\frac{LL'|K}{\mathcal{P}}\right) \Big|_{L'} = \text{id}_{L'} \Leftrightarrow$$

$$(4) \left( \frac{L|K}{\mathfrak{p}_L} \right) = \text{id}_L \wedge \left( \frac{L|K}{\mathfrak{p}_{L'}} \right) = \text{id}_{L'} \iff \left( \frac{L|K}{\mathfrak{p}} \right) = \{\text{id}_L\} \wedge$$

$$\left( \frac{L|K}{\mathfrak{p}} \right) = \{\text{id}_{L'}\} \iff \mathfrak{p} \in \text{Spl}(L|K) \cap \text{Spl}(L'|K).$$

$$\begin{aligned} \text{Now } \text{Spl}(L|K) \supseteq \text{Spl}(L'|K) &\implies \text{Spl}(L'|K) = \text{Spl}(L|K) \cap \text{Spl}(L'|K) \\ \text{Spl}(L'|K) &\stackrel{(i)}{\implies} \text{Spl}(L'|K) = \text{Spl}(LL'|K) \stackrel{(ii)}{\implies} [L':K] = [LL':K] \\ &\implies L' = LL' \implies L \subseteq L' \end{aligned}$$

(iii) follows immediately from (ii); in fact, for  $L = L'$  it is sufficient that  $\text{Spl}(L|K)$  and  $\text{Spl}(L'|K)$  differ by only finitely many elements.)

Back to problem (2). Given some finite Galois extension  $L|K$ , we would like to describe the factorization of  $p\mathcal{O}_L$  for primes  $p$  in  $K$ . However, the concept of "congruence condition" doesn't make sense for general  $K$ , since not every prime  $p$  is a principal ideal. The deviation of  $\mathcal{O}_K$  from being a principal ideal domain is measured by  $\text{Cl}_K = \mathcal{I}_K / \mathcal{P}_K$ , the ideal class group of  $K$ . By global CFT,  $\text{Cl}_K$  classifies the unramified extensions of  $K$ .

Def.: Let  $K$  be a number field.

finite prime = prime ideal of  $\mathcal{O}_K$

infinite prime = embedding  $K \hookrightarrow \mathbb{R}$  or complex-conjugate pair of embeddings  $K \hookrightarrow \mathbb{C}$  (real resp. complex prime)

$S_K = S_K^{\text{fin}} \cup S_K^{\text{inf}}$  set of finite and infinite primes

The elements of  $S_K$  are in 1-to-1-correspondence with the equivalence classes of valuations on  $K$ .

Now let  $L|K$  be a finite extension. An infinite prime  $\sigma$  is ramified in  $L$  if  $\sigma$  is real and there is a complex prime  $\{\tau, \bar{\tau}\}$  such that  $\tau|_K = \sigma$ , unramified otherwise.

⑤ The extension  $L/K$  is unramified if all  $p \in S_K$  are unramified in  $L$ .

Def.: Let  $K$  be a number field and  $\hat{U}$  a subgroup of  $\hat{J}_K$ . A finite abelian extension  $L/K$  is called the class field of  $\hat{U}$  iff

$$\text{Spl}(L/K) = \{ p \mid p \in S_K^{\infty} \text{ and } p \in \hat{U} \}$$

Similarly,  $L$  is called the class field of a subgroup  $U \subseteq \text{cl}_K$  iff it is the class field of  $\hat{U} = \pi^{-1}(U)$ , where  $\pi: \hat{J}_K \rightarrow \text{cl}_K$  is the canonical surjection.

Def.: Let  $L/K$  be a finite abelian extension. Then the Artin map of  $L/K$  is the uniquely determined homomorphism

$$\left( \frac{L/K}{\cdot} \right): \hat{J}_K \rightarrow \text{Gal}(L/K)$$

which sends every prime  $p$  in  $K$  to the Frobenius element  $\left( \frac{L/K}{p} \right)$ .

Theorem (global reciprocity law, unramified version)

(i) For every unramified finite abelian extension  $L/K$  there is a subgroup  $U \subseteq \text{cl}_K$  such that the Artin map induces an isomorphism  $\text{cl}_K/U \cong \text{Gal}(L/K)$ . ( $\Rightarrow$  Every finite abelian ext. is the class field of some subgroup of  $\text{cl}_K$ .)

(ii) For every subgroup  $U$  there is an unramified abelian extension  $L/K$  such that the Artin map induces an isom.  $\text{cl}_K/U \cong \text{Gal}(L/K)$ . ( $\Rightarrow$  For every subgroup there is a class field.)

(Show that  $\text{cl}_K/U \cong \text{Gal}(L/K)$  implies that  $L$  is the class field of  $U$ . For every finite prime  $p$  of  $K$  we have to show the equivalence  $p \in \text{Spl}(L/K) \Leftrightarrow p \in \hat{U} = \pi^{-1}(U)$ . By assumption,  $\hat{U}$  is the kernel of the Artin map, and we have seen before that  $\left( \frac{L/K}{p} \right) = \text{id}_L$  iff  $p$  is split in  $L$ .)

Corollary The class field corresponding to the subgroup  $U = \{ (1) \}$  is called the Hilbert class field  $H_K$  of  $K$ . Here the

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Artin map induces an isomorphism  $\ell_k \cong \text{Gal}(H_k|K)$ . It is the largest unramified finite abelian extension of  $K$ , i.e. any other such extension is contained in it.

(proof: Let  $L|K$  be an arbitrary unramified finite abelian ext. Then  $\ker(\frac{L|K}{\cdot}) \supseteq \ker(\frac{H_k|K}{\cdot}) = \mathcal{A}_K \Rightarrow \text{Spl}(L|K) \supseteq \text{Spl}(H_k|K) \Rightarrow L \subseteq H_k$ )

Example: The Hilbert class field of  $K = \mathbb{Q}(\sqrt{-5})$  is  $\mathcal{O}_H = \mathbb{Q}(\sqrt{-1}, \sqrt{5})$ .

(sketch: We have  $\mathcal{O}_H = \mathbb{Z}[\sqrt{-1}, \frac{1}{2}(1+\sqrt{5})]$ . The only prime numbers that ramify in  $H$  are 2 and 5 (since  $d_H = \dots$ ), with ramification index 2 each. Since 2 and 5 are also ramified in  $\mathbb{Q}(\sqrt{-5})$  with ramification index 2, it follows that  $K|H$  is unramified. Since  $[H:K] = \#\ell_k = 2$ ,  $H$  is the Hilbert class field of  $K$ .)

Corollary: A prime  $\mathfrak{p}$  in  $K$  is split in  $H_k$  iff it is a principal ideal in  $K$ .

(proof:  $\mathfrak{p} \in \text{Spl}(H_k|K) \Leftrightarrow (\frac{H_k|K}{\mathfrak{p}}) = \text{id}_{H_k} \stackrel{\text{isom.}}{\Leftrightarrow} [\mathfrak{p}] = [(1)] \Leftrightarrow \mathfrak{p} \text{ is a principal ideal}$ )

Theorem (Principal ideal theorem)

Let  $K$  be a number field and  $H = H_K$  its Hilbert class field. Then every ideal in  $\mathcal{O}_K$  becomes principal in  $H$ . more precisely, for every ideal  $\mathfrak{a} \subseteq \mathcal{O}_K$  there is an  $\alpha \in \mathcal{O}_H$  s.t.  $\mathfrak{a}\mathcal{O}_H = (\alpha)$ .

(proof: Let  $G$  be a group and  $H$  a subgroup of finite index. Then there is a canonical homomorphism  $\text{Ver}: G^{ab} \rightarrow H^{ab}$  which is defined as follows: Take a set  $R$  of representatives of the right cosets and a map  $\varphi: G \rightarrow R$  which sends every  $g \in G$  to the unique  $g' \in R$  with  $Hg = Hg'$ . Then define

$$\text{Ver}(g) := \prod_{h \in R} hg \varphi(hg)^{-1} H$$

Since  $H(hg) = H\varphi(hg) \Leftrightarrow hg\varphi(hg) \in H$  it follows that  $\text{Ver}(g)$

is contained in  $H/M = H'$ . One can show that  $\text{Ver}: G \rightarrow H'$  is a homomorphism which does not depend on the choice of  $K$ . Since  $H^{ab}$  is abelian, we have an induced map  $\text{Ver}: G^{ab} \rightarrow H^{ab}$ .

Prop.: If  $G$  is finite (more generally, finitely generated), then the map  $\text{Ver}: G^{ab} \rightarrow (G')^{ab}$  is trivial.

For the theorem, let  $H_1$  be the Hilbert class field of  $K$  and  $H_2$  the Hilbert class field of  $H_1$ . We have to show that the map  $\ell_K \rightarrow \ell_{H_1}$ ,  $[a] \mapsto [a \mathcal{O}_{H_1}]$  is trivial. The idele-theoretic description of global CFT yields a commutative diagram

$$\begin{array}{ccccc} \ell_{H_1} & \xrightarrow{\cong} & C_{H_1} / N_{H_2|H_1} C_{H_2} & \xrightarrow{\cong} & \text{Gal}(H_2|H_1) \\ \uparrow & & \uparrow & & \uparrow \text{Ver} \\ \ell_K & \xrightarrow{\cong} & C_K / N_{H_1|K} C_{H_1} & \xrightarrow{\cong} & \text{Gal}(H_1|K) \end{array}$$

As we know,  $H_1|K$  is the largest unramified finite abelian extension of  $K$ . Since  $H_1|K$  and  $H_2|H_1$  are both unramified, the same holds for  $H_2|K$ . Hence by maximality  $H_1|K$  must be the largest abelian subextension of  $H_2|K$ . This implies that  $\text{Gal}(H_2|H_1)$  is the commutator subgroup of  $\text{Gal}(H_2|K)$ , and  $\text{Gal}(H_1|K) = \text{Gal}(H_2|K)^{ab}$ . Since  $H_2|H_1$  is abelian,  $\text{Gal}(H_2|H_1) = \text{Gal}(H_2|H_1)^{ab}$ , so the arrow on the right is a homomorphism  $G^{ab} \rightarrow H^{ab}$  with  $G = \text{Gal}(H_2|K)$  and  $H = \text{Gal}(H_2|H_1) = G'$ . By the proposition, this map is trivial, so by the commutativity, the map  $\ell_K \rightarrow \ell_{H_1}$  is trivial as well.)

(On the bottom line of the diagram, the canonical form of the reciprocity isomorphism is  $C_K / N_{H_2|K} C_{H_2} \xrightarrow{\cong} \text{Gal}(H_2|K)^{ab}$ . But since  $H_1|K$  is the largest abelian subextension of  $H_2|K$ , the norm groups  $N_{H_1|K} C_{H_1}$  and  $N_{H_2|K} C_{H_2}$  coincide.)

Considering only unramified abelian extensions is very restrictive. For example, it is known that  $\mathbb{Q}$  has no unramified extensions



8) Let  $m \in \mathbb{N}$ ,  $m \geq 3$  and  $m$  odd or  $4|m$ . We consider  $K_m = \mathbb{Q}(\zeta_m)$  with  $\zeta_m = e^{2\pi i/m}$ , the  $m$ -th cyclotomic extension of  $\mathbb{Q}$ . As we know, there is a canonical isomorphism  $(\mathbb{Z}/m\mathbb{Z})^* \cong \text{Gal}(K_m/\mathbb{Q})$ . Is it possible to map some kind of "ideal class group" isomorphically onto  $\text{Gal}(K_m/\mathbb{Q})$ ? It would be canonical to send the prime ideal  $(p)$  to  $p \pmod{m}$ . This is a class in  $(\mathbb{Z}/m\mathbb{Z})^*$  only if  $p \nmid m$ , so we exclude these primes (by coincidence, these are precisely the primes that ramify in  $K_m$ ). Now we extend this map to all fractional ideals  $(\frac{r}{s})$  with  $r, s \in \mathbb{Z}$  coprime to  $m$ .  $\Rightarrow$  obtain a surjective map onto  $(\mathbb{Z}/m\mathbb{Z})^*$ .  $(\frac{r}{s})$  is mapped to  $1 \pmod{m}$  iff  $r \equiv s \pmod{p^{v_p(m)}}$  for all  $p|m$  and  $\text{sgn}(r) = \text{sgn}(s)$ .

Def.: A modulus of a number field is a map

$m: S_K \rightarrow \mathbb{Z}$  s.t.  $m(p) = 0$  for almost all  $p \in S_K$  and  $m(p) \in \{0, 1\}$  if  $p$  is real,  $m(p) = 0$  if  $p$  is complex,  $m(p) \geq 0$  for all finite primes.

For every modulus  $m$ , we let  $S(m) \subseteq S_K$  denote the set of primes  $p$  with  $m(p) > 0$ . Furthermore

$$I_m = \left\{ \mathfrak{a} \mid \mathfrak{a} \text{ ideal} \neq (0) \text{ with factorization } \prod_{i=1}^r p_i^{r_i} \ (r_i \in \mathbb{Z}), \right. \\ \left. r_i = 0 \text{ if } p_i \in S(m) \right\}$$

$$P_m = \{ (\alpha) \mid \alpha \in K^\times, \alpha \equiv 1 \pmod{m} \}$$

If  $\alpha = \frac{b}{c}$  with  $b, c \in \mathcal{O}_K$ , then  $\alpha \equiv 1 \pmod{m}$  means that

- (i)  $b \equiv c \pmod{p^v}$  for all finite primes  $p$  with  $v = m(p) > 0$
- (ii)  $\sigma(\alpha) > 0$  for all real primes  $\sigma$  with  $m(\sigma) = 1$ .

The group  $Cl_m = I_m / P_m$  is called the ray class group of  $m$ .

Example: For the modulus  $M = \infty$  ( $m \in \mathbb{N}$  as above,  $\infty$  the unique



(g) infinite prime of  $\mathbb{K} = \mathbb{Q}$ ,  $\mathbb{Z}/m\mathbb{Z}$  is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^\times$ .

Remark: As before, we can define class fields attached to subgroups of  $\mathbb{J}_m$  or  $\mathbb{I}_m$ . Let  $L|K$  denote a finite abelian extension and  $S \subseteq S_K^{<\infty}$  a finite set of primes which contains the primes that ramify in  $L$ . Then  $L$  is

called the class field of  $U \subseteq \mathbb{J}_m$  if  $\text{Spl}(L|K) \setminus S = \hat{U} \setminus S$ .

The class field of  $U \subseteq \mathbb{I}_m$  is by definition the class field of  $U = \pi^{-1}(\hat{U})$ , where  $\pi: \mathbb{J}_m \rightarrow \mathbb{I}_m$  denotes the canonical projection.

Remark: As in the unramified case, we can define the Artin map  $\left(\frac{L|K}{\cdot}\right): \mathbb{J}_m \rightarrow \text{Gal}(L|K)$ , if  $L|K$  is a finite abelian extension whose ramifying primes are all divisors of  $m$ . If we put  $\hat{U} = \ker\left(\frac{L|K}{\cdot}\right)$ , then  $L$  is the class field of  $\hat{U}$  since  $\pi \in \text{Spl}(L|K) \iff \left(\frac{L|K}{\pi}\right) = \text{id}_L \iff \pi \in \ker\left(\frac{L|K}{\cdot}\right) = \hat{U}$ .

Theorem (global reciprocity)

(i) Let  $L|K$  be a finite abelian extension and  $S \subseteq S_K$  the set of primes that ramify in  $L$ . Then there is a modulus  $m$  with  $S = S(m)$  and a subgroup  $U \subseteq \mathbb{I}_m$  such that the Artin symbol induces an isomorphism  $\mathbb{I}_m/U \cong \text{Gal}(L|K)$ .  
( $\Rightarrow L$  is the class field of  $U$ )

(ii) Let  $m$  be a modulus in  $K$  and  $U \subseteq \mathbb{I}_m$  a subgroup. Then there is a finite abelian extension  $L|K$  which is unramified at all primes  $p \nmid m$  such that the Artin map induces an isomorphism  $\mathbb{I}_m/U \cong \text{Gal}(L|K)$ .

Def.: The field which corresponds to the trivial subgroup

(10) of  $\text{Cl}_m$  is called the ray class field  $K^m$  of  $K$ .

By definition, we have  $\text{Cl}_m \cong \text{Gal}(K^m|K)$ . Every finite abelian extension  $L|K$  is contained in a ray class field.

To see this, let  $m$  be a module and  $U \subseteq \text{Cl}_m$  a subgroup with  $\text{Cl}_m/U \cong \text{Gal}(L|K)$ . Then  $\hat{U} = \pi^{-1}(U)$  is the kernel of  $(\frac{L|K}{\cdot}) : \mathcal{I}_m \rightarrow \text{Gal}(L|K)$ , and  $P_m \supseteq \hat{U}$  is the kernel of  $(\frac{K^m|K}{\cdot}) : \mathcal{I}_m \rightarrow \text{Gal}(K^m|K)$ . We obtain  $\text{Spl}(L|K) \supseteq \text{Spl}(K^m|K)$  and  $L \subseteq K^m$ .

Prop.: For every  $m \in \mathbb{N}$  as above,  $K_m = \mathbb{Q}(\zeta_m)$  is the ray class field of  $M = m\infty$ .

(proof: For every prime  $p \nmid m$ , the Frobenius  $(\frac{K_m|Q}{p})$  sends  $\zeta_m$  to  $\zeta_m^p$ . This shows that the kernel of the Artin map  $(\frac{K_m|Q}{\cdot}) : \mathcal{I}_m \rightarrow \text{Gal}(K_m|Q)$  is  $P_m$ . Now the assertion follows from the unicity of the class field.)

Corollary: (prime decomposition)

Let  $L|K$  be finite abelian and  $U \subseteq \text{Cl}_m$  the corresponding group. For any prime  $p$  in  $K$  with  $p \nmid m$ , if  $f$  is the order of  $[p]$  in  $\text{Cl}_m/U$ , then  $p\mathcal{O}_L$  factorizes in  $r = \frac{[L:K]}{f}$  different primes.

(proof: Let  $\mathcal{P}$  be a divisor of  $p\mathcal{O}_L$  and  $\lambda = \mathcal{O}_L/\mathcal{P}$ ,  $\kappa = \mathcal{O}_\kappa/p$  the corresponding residue class fields. Then  $f(\mathcal{P}|p) = \#\text{Gal}(\lambda|\kappa) = \#\mathcal{D}_\mathcal{P} = \text{ord}\left(\left(\frac{L|K}{\mathcal{P}}\right)\right) = \text{ord}\left(\left(\frac{L|K}{p}\right)\right) = \text{ord}([p]) = f$ . If  $p\mathcal{O}_L$  decomposes into  $s$  different primes, then

$$s = \frac{[L:K]}{e(\mathcal{P}|p)f(\mathcal{P}|p)} = \frac{[L:K]}{f} = r \quad )$$

Remark: If  $m, n$  are moduli of  $K$  s.t.  $m|n$ , then  $K^m \subseteq K^n$ .

(proof: The inclusion  $J_m \subseteq J_n$  induces a surjective map  $\ell_n \rightarrow \ell_m$ . Here the surjectivity is a consequence of the fact that every ideal class in  $\ell_m$  contains infinitely many prime ideals, a statement that generalizes Dirichlet's prime number theorem. Since  $(\frac{K^n|K}{\cdot})|_{K^m} = (\frac{K^m|K}{\cdot})$ , there is a commutative diagram

$$\begin{array}{ccc} \ell_n & \xrightarrow{\sim} & \text{Gal}(K^n|K) \\ & \searrow & \downarrow \\ & & \text{Gal}(K^m|K) \end{array}$$

where the arrow on the right is restriction and the two others are given by the Artin symbol. Since  $P_m$  is the kernel of  $J_m \rightarrow \text{Gal}(K^m|K)$ , the arrow  $\ell_n \rightarrow \text{Gal}(K^m|K)$  factorizes over  $\ell_m$ , and we obtain a commutative diagram

$$\begin{array}{ccc} \ell_n & \xrightarrow{\sim} & \text{Gal}(K^n|K) \\ \downarrow & & \downarrow \\ \ell_m & \xrightarrow{\sim} & \text{Gal}(K^m|K) \end{array}$$

Now if  $p \nmid n$  is a prime in the kernel of  $J_n \rightarrow \text{Gal}(K^n|K)$ , it is also contained in the kernel of  $J_m \rightarrow \text{Gal}(K^m|K)$ , so that  $\text{Spl}(K^n|K) \subseteq \text{Spl}(K^m|K) \Rightarrow K^n \subseteq K^m$ .

Corollary: If  $L|Q$  is finite abelian, then the factorization of  $pO_L$  ( $p$  prime) is determined by congruence conditions.

(proof: We may assume that  $L$  is contained in  $K^m$  with  $m = m_0$ ,  $m \in \mathbb{N}$ ,  $m \geq 3$  and  $m$  odd or  $4|m$ . There is a subgroup  $U$  of  $\ell_m$  and a commutative diagram

(12)

$\ell_m / \mathbb{Q} \leftarrow (\mathbb{Z}/m\mathbb{Z})^\times$  which is induced by the  
 diagram

$$\begin{array}{ccc} & & (\mathbb{Z}/m\mathbb{Z})^\times \\ & \searrow & \swarrow \\ \ell_m & & \text{Gal}(\ell/\mathbb{Q}) \end{array}$$

$\ell_m \leftarrow (\mathbb{Z}/m\mathbb{Z})^\times$  which is commutative since the  
 diagram

$$\begin{array}{ccc} \ell_m & \leftarrow & (\mathbb{Z}/m\mathbb{Z})^\times \\ & \searrow & \swarrow \\ & \text{Gal}(\mathbb{Q}(\zeta_m)/\mathbb{Q}) & \end{array}$$

$\left( \frac{\mathbb{Q}(\zeta_m)/\mathbb{Q}}{p} \right) (\zeta_m) = \zeta_m^p$   
 for every  $p \nmid m$ .

Now if  $p, q \nmid m$  are primes such that  $p \equiv q \pmod{m}$ , then  
 $\left( \frac{\ell/\mathbb{Q}}{p} \right) = \left( \frac{\ell/\mathbb{Q}}{q} \right)$ . Since  $\left( \frac{\ell/\mathbb{Q}}{p} \right)$  and  $\left( \frac{\ell/\mathbb{Q}}{q} \right)$  have the same  
 order, by the above theorem  $p\mathcal{O}_L$  and  $q\mathcal{O}_L$  factorizes into  
 the same number of primes. )

## §2. Local class field theory

①

Def.: A local field is a field which is locally compact with respect to a non-trivial valuation.

One can show that every local field is isomorphic to a field in the following list.

(i) if the valuation is archimedean,  $\mathbb{R}$  or  $\mathbb{C}$

(ii) if the valuation is non-arch. and  $\text{char}(K) = 0$ , a finite extension of  $\mathbb{Q}_p$  ( $p$ -adic rationals) for some prime number  $p$  (called  $p$ -adic number fields)

(iii) if the valuation is non-archimedean and  $\text{char}(K) = p > 0$ , the field  $\mathbb{F}_q((t))$  of Laurent series over  $\mathbb{F}_q$ ,  $q = p^n$  for some  $n \in \mathbb{N}$

If  $(K, |\cdot|)$  is a valued field, one can construct the completion  $K_{|\cdot|}$  of  $K$  w.r.t. this valuation. For instance, if  $K$  is a number field and  $\mathfrak{p}$  a prime in  $K$ , we define  $\mathfrak{o} = (\mathcal{O}_K : \mathfrak{p})$  and  $|\alpha|_{\mathfrak{p}} = q^{-n}$  for  $\alpha \in \mathcal{O}_K$ , where  $n \in \mathbb{N}_0$  is maximal with  $\mathfrak{p}^n | (\alpha)$ .

The completion  $K_{\mathfrak{p}} = K_{|\cdot|_{\mathfrak{p}}}$  is the field of  $p$ -adic numbers

If  $R \subseteq \mathcal{O}_K$  is a set of representatives for  $\mathcal{O}_K / \mathfrak{p}$  with  $0 \in R$  and  $\pi \in \mathfrak{p} \setminus \mathfrak{p}^2$ , then every  $\alpha \in K_{\mathfrak{p}}$  can be written as a power series  $\alpha = \sum_{n=r}^{\infty} a_n \pi^n$  with  $r \in \mathbb{Z}$ ,  $a_n \in R \forall n \geq r$  and  $a_r \neq 0$  in a unique way.

Similarly, one obtains  $\mathbb{F}_q((t)) = \left\{ \sum_{n=r}^{\infty} a_n t^n \mid r \in \mathbb{Z}, a_n \in \mathbb{F}_q \forall n \geq r, a_r \neq 0 \right\}$  as the completion of the rational function field  $\mathbb{F}_q(t)$  w.r.t. the valuation defined by the prime ideal  $(t)$  in the polynomial ring  $\mathbb{F}_q[t]$ .

Def.: Let  $(K, |\cdot|)$  be a non-archimedean local field. (2)

It is convenient to define  $v: K^* \rightarrow \mathbb{R}$  by  $v(x) = -\log|x|$ .

valuation ring of  $K$   $\mathcal{O}_K = \{x \in K^* \mid v(x) \geq 0\} \cup \{0\}$

maximal ideal  $\mathfrak{m}_K = \{x \in K^* \mid v(x) > 0\} \cup \{0\}$

residue class field  $k = \mathcal{O}_K / \mathfrak{m}_K$

unit group of  $\mathcal{O}_K$   $U_K = \{x \in K^* \mid v(x) = 0\}$

Let  $\pi \in K^*$  such that  $v(\pi) = \min\{v(x) \mid x \in \mathfrak{m}_K \setminus \{0\}\}$ . Then  $\pi$  generates  $\mathfrak{m}_K$ , i.e.  $\mathfrak{m}_K = (\pi)$ . Such an element is called a local uniformizer of  $K$ . Every  $x \in K^*$  can be written as  $x = u\pi^n$  with  $u \in U_K$  and  $n \in \mathbb{Z}$  in a unique way. This means that there are topological isomorphisms

$$K^* \cong U_K \times \langle \pi \rangle \cong U_K \times \mathbb{Z}$$

Without changing the topology on  $K$ , we may assume that  $v(\pi) = 1$ . The subgroups given for each  $n \in \mathbb{N}$  by

$$U_K^{(n)} = \{x \in K^* \mid v(x-1) \geq n\} \text{ „n-te Einseinheiten“}$$

form a basis of open neighborhoods of  $1 \in U_K$ .

Now let  $L|K$  denote a finite extension of non-archimedean fields, with residue class fields  $k = \mathcal{O}_K / \mathfrak{m}_K$  and  $\lambda = \mathcal{O}_L / \mathfrak{m}_L$ .

inertia degree  $f = [k : \lambda]$

ramification index  $e \in \mathbb{N}$  s.t.  $\mathfrak{m}_L^e = \mathfrak{m}_K \mathcal{O}_L$

If  $L|K$  is separable (which we assume from now on), then  $[L:K] = ef$ . If  $e=1$ , then  $L|K$  is called unramified.

Such an extension is always a Galois extension, and there is a natural isomorphism  $\text{Gal}(L|K) \cong \text{Gal}(\lambda|k)$ . If  $\#k = q$ , then  $\text{Gal}(\lambda|k)$  is generated by the automorphism  $\bar{x} \mapsto \bar{x}^q$ ,

and its preimage  $\varphi_{L/K} \in \text{Gal}(L/K)$  is called the Frobenius automorphism of  $L/K$ . (5)

Theorem: (local reciprocity law)

For every finite Galois extension  $L/K$  of local fields there is a canonical surjective homomorphism

$$(\cdot, L/K) : K^* \rightarrow \text{Gal}(L/K)^{ab} \quad \text{with kernel}$$

$N_{L/K} L^*$  subject to the following conditions

- (a) If  $L/K$  is unramified, then  $(\pi, L/K) = \varphi_{L/K}$  for every local uniformizer  $\pi$  of  $K$ .
- (b) If  $L/K, L'/K'$  are finite Galois extensions such that  $L \subseteq L', K \subseteq K'$  and  $[K':K] < \infty$ , then there is a commutative diagram

$$\begin{array}{ccc} (K')^* & \longrightarrow & \text{Gal}(L'/K')^{ab} \\ N_{K'/K} \downarrow & & \downarrow \sigma' \mapsto \sigma'|_L \\ K^* & \longrightarrow & \text{Gal}(L/K)^{ab} \end{array}$$

In particular, for  $K = K'$  we obtain diagrams of the form

$$\begin{array}{ccc} K^* & \longrightarrow & \text{Gal}(L'/K)^{ab} \\ & \searrow & \downarrow \\ & & \text{Gal}(L/K)^{ab} \end{array}$$

which give rise to a canonical homomorphism

$$K^* \rightarrow \text{Gal}(K_s/K)^{ab} \quad (K_s = \text{separable closure of } K)$$

with dense image.

- (c) Let  $k$  be a local field and  $G = \text{Gal}(K_s/k)$  its absolute Galois group. Then for every finite Galois extension  $L/K$  with  $k \subseteq K \subseteq L \subseteq K_s$  and every  $\sigma \in G$  there

is a commutative diagram

(4)

$$\begin{array}{ccc} K^* & \longrightarrow & \text{Gal}(L|K)^{ab} \\ \sigma \downarrow & & \downarrow \sigma^*: \tau \mapsto \sigma\tau\sigma^{-1} \\ \sigma(K)^* & \longrightarrow & \text{Gal}(\sigma(L)|\sigma(K))^{ab} \end{array}$$

(d)  $L|K, L'|K'$  finite Galois extensions with  $K \subseteq K' \subseteq L$

$$\begin{array}{ccc} (K')^* & \longrightarrow & \text{Gal}(L|K')^{ab} \\ \uparrow & & \uparrow \text{Ver} \\ K^* & \longrightarrow & \text{Gal}(L|K)^{ab} \end{array}$$

Theorem: (existence theorem of local (FT))

Let  $K$  be a local field. There is a bijective correspondence

$L \mapsto \mathcal{N}_L = \mathcal{N}_{L|K} L^*$  between

- (i) finite abelian extensions  $L|K$
- (ii) open subgroups of  $K^*$  of finite index

Furthermore, if  $L_1|K, L_2|K$  are two such extensions, then

$$L_1 \subseteq L_2 \iff \mathcal{N}_{L_1} \supseteq \mathcal{N}_{L_2}$$

$$\mathcal{N}_{L_1 L_2} = \mathcal{N}_{L_1} \cap \mathcal{N}_{L_2}$$

$$\mathcal{N}_{L_1 \cap L_2} = \mathcal{N}_{L_1} \mathcal{N}_{L_2}$$

Remark: If  $\text{char}(K) = 0$ , then every subgroup of  $K^*$  of finite index is open. Every such subgroup contains  $(K^*)^m$  for some  $m \in \mathbb{N}$ , and every  $\alpha \in U_K$  close enough to 1 is an  $m$ -th power (follows from Newton's lemma applied to the polynomial  $x^m - \alpha$  under the condition  $|1 - \alpha| < |m|^2$ ; for  $\text{char}(K) = p > 0$  it may happen that  $|m|^2 = 0$ ). In positive characteristic, there are subgroups of finite index that are not open.



Example: for every  $m \in \mathbb{N}$  we let  $\zeta_m$  denote a primitive  $m$ -th root of unity.

(4a)

(i) For every  $n \in \mathbb{N}$ , the norm group of  $\mathbb{Q}_p(\zeta_{p^n})$  is (the image of)  $\langle p \rangle \times U_{\mathbb{Q}_p}^{(n)}$

assume  $p \neq 2$ , define  $K = \mathbb{Q}_p$ ,  $L = \mathbb{Q}_p(\zeta_{p^n})$ .  $\pi = 1 - \zeta_{p^n}$   
 known from algebraic number theory:  $L|K$  is purely ramified of degree  $p^{n-1}(p-1)$  (no inertia),  $\pi$  is local unif. with  $N_{L|K}(\pi) = p$ ; furthermore:

$$\exp: ((p^v), +) \xrightarrow{\sim} (U_K^{(v)}, \cdot)$$

is an isomorphism for all  $v \in \mathbb{N}$

Now  $\alpha \mapsto p^{n-1}(p-1)\alpha$  is an isomorphism between  $(p)$  and  $(p^n)$ , so by the exponential  $\alpha \mapsto \alpha^{p^{n-1}(p-1)}$  maps  $U_K^{(n)}$  isomorphically onto  $U_K^{(n)} \Rightarrow U_K^{(n)} \in N_{L|K} L^\times$

$N_{L|K}(\pi) = p \Rightarrow \langle p \rangle \times U_K^{(n)} \subseteq N_{L|K} L^\times$  Both groups have index  $p^{n-1}(p-1)$  in  $K^\times \Rightarrow$  equality

(ii) For every unramified extension  $L|\mathbb{Q}_p$  of degree  $f$  (e.g.  $L = \mathbb{Q}_p(\zeta_{p^f-1})$ ), the norm group of  $L$  is  $\langle p^f \rangle \times U_{\mathbb{Q}_p}$ .

By property (a) of the reciprocity isomorphism,  $U_{\mathbb{Q}_p}$  is mapped to zero, and  $p$  is mapped to  $\varphi_{L|\mathbb{Q}_p}$ , which is an element of order  $f$ . Hence  $\langle p^f \rangle \times U_{\mathbb{Q}_p}$  is contained in the kernel, which is a subgroup of index  $f$ . Since  $f = [L:\mathbb{Q}_p] = (\mathbb{Q}_p^\times : N_{L|\mathbb{Q}_p} L^\times)$ , we have equality again.

Corollary: (local Kronecker-Weber theorem)

(5)

Every abelian extension  $L/\mathbb{Q}_p$  is contained in a cyclotomic extension  $\mathbb{Q}(\zeta_n)/\mathbb{Q}_p$ .

The subgroup  $\mathcal{N}_L = N_{L/\mathbb{Q}_p} L^\times$  of  $\mathbb{Q}_p^\times$  is open and of finite index. The subgroups  $\langle p^f \rangle = U_{\mathbb{Q}_p}^{(n)}$  form a fundamental system of neighborhoods of unity, so  $\mathcal{N}_L \supseteq \langle p^f \rangle \times U_{\mathbb{Q}_p}^{(n)}$  for  $f, n \in \mathbb{N}$  large enough. Now  $\langle p \rangle = U_{\mathbb{Q}_p}^{(n)}$  is the norm group of  $L_1 = \mathbb{Q}_p(\zeta_{p^n})$ , and  $\langle p^f \rangle \times U_{\mathbb{Q}_p}$  is the norm group of  $L_2 = \mathbb{Q}_p(\zeta_{p^f-1})$ , so

$$\langle p^f \rangle \times U_{\mathbb{Q}_p}^{(n)} = \langle p \rangle \times U_{\mathbb{Q}_p}^{(n)} \cap \langle p^f \rangle \times U_{\mathbb{Q}_p}$$

is the norm group of  $L_1 L_2 = \mathbb{Q}_p(\zeta_m)$ ,  $m = p^n(p^f - 1)$ .

From  $\mathcal{N}_L \supseteq \mathcal{N}_{L_1 L_2}$  we obtain  $L \subseteq L_1 L_2 = \mathbb{Q}_p(\zeta_m)$   $\square$

Now let  $K$  be a local field and  $n \in \mathbb{N}$  with  $\text{char}(K) \nmid n$  if  $\text{char}(K)$  is positive. We assume that  $K$  contains the cyclic group  $\mu_n$  of order  $n$  of the  $n$ -th roots of unity.

Another important application of local CRT is the construction of the Hilbert symbol

$$\left(\frac{\cdot, \cdot}{p}\right) : \frac{K^\times}{(K^\times)^n} \times \frac{K^\times}{(K^\times)^n} \rightarrow \mu_n$$

which is a non-degenerate bilinear form with the property  $\left(\frac{\alpha, \beta}{p}\right) = 1 \iff \alpha$  is norm of  $K(\sqrt[n]{\beta})/K \forall \alpha, \beta \in K^\times$ .

(Here  $p$  denotes the maximal ideal of  $K$ .) We just describe the construction of this symbol via local CRT.

Let  $L = K(\sqrt[n]{K^*})$ ; by Kummer theory, one can show (b) that this is the largest abelian extension of exponent  $n$ .

In the first step, we define a map

$$K^* / (K^*)^n \rightarrow \text{Hom}(\text{Gal}(L|K), \mu_n) \quad (1)$$

For every  $a \in K^*$ , choose some  $\alpha \in L$  with  $\alpha^n = a$  and define  $\chi_a \in \text{Hom}(\text{Gal}(L|K), \mu_n)$  by  $\chi_a(\sigma) = \frac{\sigma(\alpha)}{\alpha}$ . It is clear that  $\chi_a$  is homomorphism. Furthermore, if  $\beta \in L$  is another element with  $\beta^n = a$ , then there is some  $\zeta \in \mu_n$  with  $\beta = \zeta\alpha$ , and  $\frac{\sigma(\beta)}{\beta} = \frac{\sigma(\zeta\alpha)}{\zeta\alpha} = \frac{\zeta\sigma(\alpha)}{\zeta\alpha} = \frac{\sigma(\alpha)}{\alpha}$ , so  $\chi_a$  is independent from the choice of  $\alpha$ .

One checks easily that  $K^* \rightarrow \text{Hom}(\text{Gal}(L|K), \mu_n)$  is a homomorphism. If  $a \in (K^*)^n$ , then any  $\alpha \in L$  with  $\alpha^n = a$  lies in  $K$ , so  $\chi_a(\sigma) = \frac{\sigma(\alpha)}{\alpha} = \frac{\alpha}{\alpha} = 1 \quad \forall \sigma \in \text{Gal}(L|K)$ .

This shows that  $(K^*)^n$  is contained in the kernel, which yields (1). Using the long exact cohomology seq. associated to the sequence  $1 \rightarrow \mu_n \rightarrow K^* \xrightarrow{\alpha \mapsto \alpha^n} K^* \rightarrow 1$  one can show that (1) is actually an isomorphism.

By explicit knowledge of the structure of  $K^*$ , one can show that  $K^* / (K^*)^n$  is a finite group. Hence by (1), the group  $\text{Hom}(\text{Gal}(L|K), \mu_n)$  and  $\text{Gal}(L|K)$  as the Pontryagin dual are both finite. Now since  $K^* / N_{L|K} L^* \cong \text{Gal}(L|K)$  has exponent  $n$ , we have  $(K^*)^n \subseteq N_{L|K} L^*$ . Furthermore, since  $\# K^* / (K^*)^n \stackrel{\text{duality}}{=} \# \text{Gal}(L|K) = \# K^* / N_{L|K} L^*$ , both groups are equal.

Finally, there is a natural map

$$\text{Gal}(L/K) \times \text{Hom}(\text{Gal}(L/K), \mu_n) \rightarrow \mu_n \quad (2)$$

given by  $(\sigma, \chi) \mapsto \chi(\sigma)$ . By local reciprocity, we have a canonical isomorphism  $\text{Gal}(L/K) \cong K^*/N_{L/K} L^* = K^*/(K^*)^n$ , and  $\text{Hom}(\text{Gal}(L/K), \mu_n) \cong K^*/(K^*)^n$  as stated above. Applying these two isomorphisms to (2), we obtain the desired bilinear map.

(7)